

# NOVARE UNIT TRUST NEW SUB BROKER FORM

Novare CIS (RF) (Pty) Ltd | Registration Number: 2013/191159/07 | SARS Registration Number: 9649/248/16/9

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- All sections must be completed in full
- Indicate all options by means of a cross [X]
- Initial any amendments made to the application form
- Ensure that all information provided is accurate and true
- No instruction will be processed unless all requirements have been met

- **The daily cut-off for receipt of instructions is 14h00.**
- Completed forms are to be faxed to us on **0860 668 273 (0860 novare)** or e-mailed to **transact@novare.com**
- Should you have any queries regarding this application, please contact Novare CIS (RF) (Pty) Ltd ("Novare") on **0800 668 273 (0800 novare)** or e-mail **clientservice@novare.com**

## (A) FINANCIAL ADVISOR PERSONAL DETAIL

Title:	<input type="text"/>	Surname:	<input type="text"/>
First name(s):	<input type="text"/>		
ID or passport number:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Gender:	<input type="checkbox"/> M <input type="checkbox"/> F
Postal address:	<input type="text"/>		
	<input type="text"/>	Code:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Residential address:	<input type="text"/>		
	<input type="text"/>	Code:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Home Telephone:	<input type="text"/> (code) <input type="text"/>	Work Telephone:	<input type="text"/> (code) <input type="text"/>
Cellphone Number:	<input type="text"/> (code) <input type="text"/>	Fax:	<input type="text"/> (code) <input type="text"/>
E-mail address:	<input type="text"/>		

### Domestic Prominent Influential Person (DPIP) or Foreign Prominent Public Official (FPPO)

In terms of Financial Intelligence Centre Act (FICA), Novare is an accountable institution and is required to establish the risk profile of its clients. This includes determining whether a client is a **Domestic Prominent Influential Person (DPIP)** or **Foreign Prominent Public Official (FPPO)**.

A **DPIP** is a term that describes an individual who holds, including in an acting position for a period exceeding 6 (six) months, or has held at any time in the preceding 12 (twelve) months, in the Republic a prominent public function

A **FPPO** is a term that describes an individual who holds, or has held at any time in the preceding 12 (twelve) months, in any foreign country a prominent public function

I consider myself to be, or to be associated with a **DPIP** or **FPPO**?

 Y  N

If yes, please provide details:

Signature on behalf of FSP

Date

**Documentation required** to be submitted with this application form. Please supply copy of ID and copy of proof of address (less than three months old) with this application form.

Financial Advisor

Date

#### Novare CIS (RF) (Pty) Ltd

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